



IF W4

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Kevin K.S. Fung
SERIAL NO.: 10/600,888
FILING DATE: June 20, 2003
TITLE: Settlement of Auctions Using Complete Sets and Separate Price and Quantity Determination
EXAMINER: Not yet known
GROUP ART UNIT: 3624
ATTY. DKT. NO.: 23876-08031

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: MAY 24, 2004 By: Michael W. Farn
Michael W. Farn, Reg. No. 41,015

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

PRELIMINARY AMENDMENT A

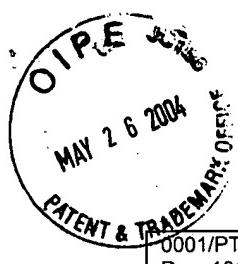
Dear Examiner:

Prior to examination of the above-identified application, please amend the application as follows.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

05/27/2004 HVUDNG1 00000036 10600888

01 FC:2202 468.00 0P
02 FC:2201 301.00 0P



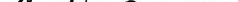
1

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/600,888
	Filing Date	June 20, 2003	
	First Named Inventor	Kevin K.S. Fung	
	Group Art Unit Number	Not yet known	
	Examiner Name	3624	
Total Number of Pages in This Submission	22	Attorney Docket Number	23876-08031

TRANSMITTAL FORM

*(to be used for all correspondence during pendency of
filed application)*

SIGNATURE OF ATTORNEY OR AGENT

Signature:			
Attorney/Reg. No.:	Michael W. Farn, Reg. No. 41,015	Dated:	May 24, 2004

CERTIFICATE OF MAILING

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Signature:	
Typed or Printed Name:	Michael W. Farn
Express Mail Mailing Number (optional):	
Dated:	May 24, 2004



FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT **(\\$) 769**

Attorney Docket No. **23876-08031**

Complete if Known

Application Number	10/600,888
Filing Date	June 20, 2003
First Named Inventor	Kevin K.S. Fung
Examiner Name	Not yet known
Art Unit	3624

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number **19-2555**

Deposit Account Name **Fenwick & West LLP**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\\$)	-0-

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	72 -20** =	52 x 9 =	468
Independent Claims	10 -3** =	7 x 43 =	301
Multiple Dependent			-0-

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\\$) 769

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(g)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
SUBTOTAL (3)		(\\$) -0-	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael W. Farn	Registration No. (Attorney/Agent)	41,015	Telephone (650) 335-7823
Signature		Date	May 24	, 2004